



**In The United States Patent and Trademark Office**

Serial Number: 10/803,478 Examiner: n/a  
Applicants: Yih-Kuen TSAY et. al. Group Art Unit: 2171  
Filing Date: 03/17/2004 Docket No.: USP2364T-TP010-NTU  
Title: Sequence Based Indexing and Retrieval Method for Text Documents

Date: July 20, 2004

**Submission of Missing Parts and Assignment**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The applicant respectfully submits the following documents in response to the Notice to File Missing Parts of Non-provisional Application dated 06/02/2004.

1. A declaration for patent application signed on 04/16/2004.
2. A verified statement claiming small entity status by inventor.
3. A check in the amount of US\$385.00
4. A check in the amount of \$65.00 for late declaration surcharge.
5. Part two of Notice of Missing Parts
6. Three Assignment Forms signed by individual assignors
7. Assignment Recordation Documents
8. Power of Attorney by Assignee
9. Verified Statement of Small Entity Status by Assignee

Please accept the above documents and continue the prosecution for this immediate application.

The Commissioner is hereby authorized to charge or credit any overpayment to the following deposit account: Account Name: David and Raymond Patent Firm; Account No.: 502111.

Respectfully submitted,

Raymond Y. Chan  
Reg. Nr.: 37,484  
c/o 108 N. Ynez Ave., Suite 128  
Tel.: (626)571-9812  
Fax: (626)571-9813

**Certification of Mailing**

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Mail Stop Missing Parts, Commissioner of Patents, Alexandria, VA 22313-1450," on the date below.

Mail Date: July 20, 2004

Signature:   
Person Signing: Raymond Y. Chan



IEN

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/803,478         | 03/17/2004             | Yih-Kuen Tsay         | USP2364T-DRTP          |

Raymond Y. Chan  
108 N. Ynez Ave., Suite 128  
Monterey Park, CA 91754

CONFIRMATION NO. 5502

## FORMALITIES LETTER



\*OC000000012849190\*

Date Mailed: 06/02/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/23/2004 GWORDDF1 00000045 10803478

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 385.00 OP  
02 FC:2051 65.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$580** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents

P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

B.H.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE